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Attorney Docket No: NTWK005/01US



**PATENT** 

#### **DECLARATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## System and Method for Adaptive Cryptographically Synchronized Authentication

the specification of which:

(check one)

[ .	] is attached hereto;		
	] was filed on, and identified as Attorney Docket No, and was(if applicable);		
[X] was filed as United States Application Serial No. <u>09/621,060</u> on <u>July 21, 2000</u> , and was amended on (if applicable);			
	e reviewed and understand the contents of the above-identified specification, claims, as amended by any amendment referred to above;		

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information which is known to me to be material to the patentability of said invention in accordance with 37 C.F.R. §1.56;

I do not know and do not believe said invention was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to said application; that said invention was not in public use or on sale in the United States of America more than one year prior to said application; that said invention has not been patented or made the subject of an inventor's certificate issued before the date of said application in any country foreign to the United States of America on any application filed by me or my legal representatives or assigns more than six months prior to said application;

Attorney Docket No.: NTWK005/01US

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

60/145,216

July 23, 1999

(Application Number)

(Filing Date) (day, month, year)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor:

Dennis K. Branstad

Inventor's signature

Danston Date November 22,2000

Residence:

3409 San Mateo Drive

Austin, TX 78738

Citizen of:

**USA** 

Post Office Address: Same As Above

Full name of second inventor:

David W. Carman

Inventor's signature

Residence:

14628 Mustang Path

Glenwood, MD 21738

Citizen of:

**USA** 

Post Office Address: Same As Above

Attorney Docket No: NTWK005/01US

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Branstad et al.

Serial No.:

09/621,060

Filed:

July 21, 2000

Examiner:

(To Be Assigned)

Art Unit:

2785

For:

System and Method for Adaptive Cryptographically Synchronized

Authentication

Assistant Commissioner for Patents Washington, D.C. 20231

# POWER OF ATTORNEY BY ASSIGNEE AND CHANGE OF CORRESPONDENCE ADDRESS

Networks Associates Technology, Inc., the assignee of record of the entire right, title, and interest in the above-identified application, hereby grants power of attorney to the registered practitioners of Cooley Godward LLP included in the Customer Number provided below to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number.

Customer Number: 022903

### STATEMENT UNDER 37 CFR 3.73(b)

#### **IDENTIFICATION OF ASSIGNEE**

Name of Assignee:	Networks Associates Technology, Inc.		
Type of Assignee:	Corporation		



Appln. No.: 09/621,060 Inventor: Branstad et al.

## PERSON AUTHORIZED TO SIGN

Name of Person Authorized to Sign: Kent H. Roberts			
Title of Person Authorized to Sign: Vice President of Legal Affairs			
I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.			
BASIS OF ASSIGNEE'S INTEREST			
Ownership by the assignee is established as follows. A chain of title from the inventor(s) to the current assignee is shown below:			
1. From: <u>Dennis K. Branstad and David W. Carman</u>			
To: Networks Associates Technology, Inc.			
The Assignment(s) is (are) being submitted under separate cover for recordation. A copy of the Assignment(s) is attached.			
SIGNATURE: Cent H Pall			
NAME OF PERSON SIGNING: Kent H. Roberts			

TITLE OF PERSON SIGNING: Vice President of Legal Affairs

Atty Docket No.: NTWK005/01US

#### JOINT ASSIGNMENT

WHEREAS, WE, Dennis K. Branstad and David W. Carman (hereinafter "ASSIGNORS"), citizens of USA, respectively, residing at 3409 San Mateo Drive, Austin, TX 78738 and 14628 Mustang Path, Glenwood, MD 21738, respectively; are the inventors of the invention in System and Method for Adaptive Cryptographically Synchronized Authentication for which we have executed an application for a Patent of the United States

	which is executed on even date herewith	•	
	which is identified by [PATENT ATTORNEY OR FIRM	[] attorney docket no.	[DOCKET NO.]
X	which was filed on July 21, 2000, Application No. 09/62	1,060	

and WHEREAS, Networks Associates Technology, Inc. (hereinafter "ASSIGNEE"), a Delaware Corporation having a business address at 3965 Freedom Circle, Santa Clara, CA 95054, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries. In the event that one or more of the above inventors is not an employee of ASSIGNEE at the time such aid is required, they agree to render such aid in return for an hourly rate of pay no greater than twice their equivalent regular hourly pay as it stood on the date of their departure from ASSIGNEE.

Atty. Docket No.: NTWK005/01US

Serial No.: 09/621,060

IN 1ESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our
respective signatures.
Date Covenber 22, 2000  Dennis K. Branstad  L.S.
In the State of Mary E. Susher, Notary Public, personally appeared Dennis K. Branstad, personally known to me/proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(es), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  WITNESS my hand and official seal  Mary E. Leisher  Notary Public
Notes that and official scale
Notary
Date Movemble 2000, 2000 David W. Carman L.S.
In the State of Mary Land, county of Soulard, on 1/20/00, before me, Mary L. Suishear, Notary Public, personally appeared David W. Carman, personally known to me/proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(es), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s)
acted, executed the instrument.
WITNESS my hand and official seal  Notary Pyblic  Notary Pyblic